

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY
(Chapter II of the Patent Cooperation Treaty)

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(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 4FPO-04-07	FOR FURTHER ACTION		See Form PCT/IPEA/416
International application No. PCT/KR2004/001518	International filing date (day/month/year) 23 JUNE 2004 (23.06.2004)	Priority date (day/month/year) 25 JUNE 2003 (25.06.2003)	

International Patent Classification (IPC) or national classification and IPC

IPC7 C07C 317/30, A61K31/16

Applicant

JE IL PHARMACEUTICAL CO., LTD. et al

<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>4</u> sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input checked="" type="checkbox"/> (sent to the applicant and to the International Bureau) a total of <u>9</u> sheets, as follows:</p> <p><input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p> <p>b. <input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p>
<p>4. This report contains indications relating to the following items:</p> <p><input checked="" type="checkbox"/> Box No. I Basis of the report</p> <p><input type="checkbox"/> Box No. II Priority</p> <p><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p><input type="checkbox"/> Box No. VI Certain documents cited</p> <p><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p><input type="checkbox"/> Box No. VIII Certain observations on the international application</p>

Date of submission of the demand 25 JANUARY 2005 (25.01.2005)	Date of completion of this report 17 OCTOBER 2005 (17.10.2005)
Name and mailing address of the IPEA/KR Korean Intellectual Property Office 920 Dunsan-dong, Seo-gu, Daejeon 302-701, Republic of Korea	Authorized officer LEE, Suk Ju Telephone No. 82-42-481-8149
Facsimile No. 82-42-472-7140	

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

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Box No. I Basis of the report

- With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

This report is based on translations from the original language into the following language English, which is the language of a translation furnished for the purposes of:

international search (under Rules 12.3 and 23.1(b))
 publication of the international application (under Rule 12.4)
 international preliminary examination (under Rules 55.2 and/or 55.3)
- With regard to the **elements** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

the international application as originally filed/furnished

the description:
 pages 1-151 received by this Authority on _____ as originally filed/furnished
 pages* _____ received by this Authority on _____
 pages* _____ received by this Authority on _____

the claims:
 pages _____ as originally filed/furnished
 pages* _____ as amended (together with any statement) under Article 19
 pages* 152-166 received by this Authority on 25.01.2005
 pages* 152-160 received by this Authority on 31.08.2005

the drawings:
 pages 1/6-6/6 as originally filed/furnished
 pages* _____ received by this Authority on _____
 pages* _____ received by this Authority on _____

the sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.
- The amendments have resulted in the cancellation of:

the description, pages _____
 the claims, Nos. 2 _____
 the drawings, sheets _____
 the sequence listing (*specify*) : _____
 any table(s) related to sequence listing (*specify*) : _____
- This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

the description, pages _____
 the claims, Nos. _____
 the drawings, sheets _____
 the sequence listing (*specify*) : _____
 any table(s) related to sequence listing (*specify*) : _____

* If item 4 applies, some or all of those sheets may be marked "superseded."

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims	1, 3-6	YES
	Claims	None	NO
Inventive step (IS)	Claims	1, 3-6	YES
	Claims	None	NO
Industrial applicability (IA)	Claims	1, 3-6	YES
	Claims	None	NO

2. Citations and explanations (Rule 70.7)

I. Reference is made to the following documents:

D1: WO 02/100824 A1

D2: Guan, Jian et al., "Antitumor Agents. 185. Synthesis and Biological Evaluation of Tridemethylthiocolchicine Analogs as Novel Topoisomerase II Inhibitors", Journal of Medicinal Chemistry, 1998, 41(11), 1956-1961

D3: Sun, Li et al., "Antitumor agents. 141. Synthesis and biological evaluation of novel thiocolchicine analogs: N-acyl, N-aroyl-, and N-(substituted benzyl)deacetylthiocolchicines as potent cytotoxic and antimitotic compounds", Journal of Medicinal Chemistry, 1993, 36(10), 1474-9

II. Novelty

Claims 1,3-6 of the present invention relate to a compound represented by a formula 1, a salt thereof, a method of manufacturing the same and an anticancer agent containing the same. None of the prior art documents discloses the compound of the formula 1 wherein B1 is (b) or (c); when B1 is (a), R3 is ethyl, and R6 is hydrogen; or when B1 is (a), halogen and OH(hydroxy) or halogen and -ONO₂ substitute for phenyl group at the same time. Thus claims 1,3-6 of the present invention are novel.

III. Inventive Step

Claim 1 discloses the compound wherein when B1 is (a), R3 is ethyl, and R6 is hydrogen; or halogen and OH(hydroxy) or halogen and -ONO₂ substitute for phenyl group at the same time. The present invention is different from D1-D3 in that -ONO₂ substitutes for phenyl in the compound of D1, OH substitutes for phenyl in the compound of D2, and halogen singularly substitutes for phenyl in D1-D3.

(Continued on Supplement Box)

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Supplemental Box**In case the space in any of the preceding boxes is not sufficient.**

Continuation of:

(BOX V)

To replace by two substituents at the same time cannot be easily invented from the prior art. Concerning the effect in decreasing toxicity of the compound, LD50 and ED50 are remarkable over that of D1-D3. Claim 1 is inventive and claims 3-6 dependent on claim 1 are also inventive.

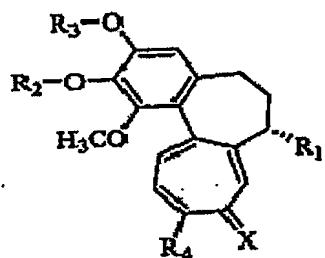
Therefore, claims 1,3-6 of the present invention are considered to meet the requirements of Article 33(3) PCT.

IV. Industrial Applicability

The subject matter of claims 1,3-6 is considered to be industrially applicable under PCT Article 33(4).

What is claimed is

1. (amended) A tricyclic derivative represented by the following <Formula 1> or pharmaceutically acceptable
5 salts thereof. <Formula 1>



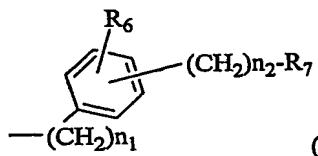
Wherein,

(1) R₁ is -T₁-B₁;

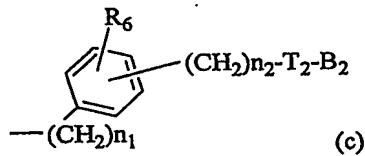
in which T₁ is -N(R₅)C(O)-, in that R₅ is H or

10 C₁~C₅ alkyl group; and

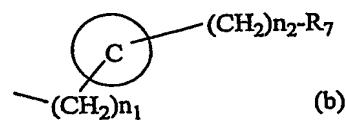
B₁ is selected from a group consisting of
following (a) ~ (c);



(a)

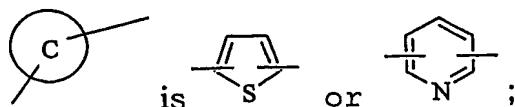


(c)



(b)

wherein,



R₆ is H or halogen;

5 R₇ is hydroxy or -ONO₂ group, with the proviso that when R₆ is H, R₇ is -ONO₂ group;

T₂ is -O-C(O)-;

B₂ is said (a) or -(CH₂)_n₃-R₇;

n₁ is an integer of 0~1;

10 n₂ is an integer of 0~5; and

n₃ is an integer of 2~5;

(2) R₂ is CH₃;

15 (3) R₃ is C₁~C₄ straight-chain or branched-chain alkyl or C₃~C₇ cycloalkyl, with the proviso that when B₁ is (a) and R₆ is H, R₃ is C₂H₅;

20

2. (deleted)

3. (amended) The tricyclic derivative or

pharmaceutically acceptable salts thereof as set forth in claim 1, wherein the tricyclic derivative is selected from the group consisting of:

5 1) 6-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-nicotineamide;

10 4) N-[(7S)-3-ethoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-3-nitrooxymethyl-benzamide;

15 5) 6-nitrooxymethyl-pyridine-2-carboxylic acid-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

6) 5-nitrooxymethyl-thiophene-2-carboxylic acid-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

20 8) N-[(7S)-3-ethoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-2-fluoro-3-nitrooxymethyl-benzamide;

25

9) 2-fluoro-N-[(7S)-3-isopropoxy-1,2-dimethoxy-
10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-
benzo[a]heptalen-7-yl]-3-nitrooxymethyl-benzamide;

5 10) 2-fluoro-3-nitrooxymethyl-N-[(7S)-1,2,3-
trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-
benzo[a]heptalen-7-yl]-benzamide;

10 12) 3-fluoro-5-nitrooxymethyl-N-[(7S)-1,2,3-
trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-
benzo[a]heptalen-7-yl]-benzamide;

15 13) N-[(7S)-3-ethoxy-1,2-dimethoxy-10-
methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-
benzo[a]heptalen-7-yl]-3-fluoro-5-nitrooxymethyl-
benzamide;

20 14) 3-fluoro-N-[(7S)-3-isopropoxy-1,2-dimethoxy-
10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-
benzo[a]heptalen-7-yl]-5-nitrooxymethyl-benzamide;

25 15) N-[(7S)-3-cyclopentyloxy-1,2-dimethoxy-10-
methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-
benzo[a]heptalen-7-yl]-3-fluoro-5-nitrooxymethyl-
benzamide;

17) 2-fluoro-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;

5

21) 4-nitrooxymethyl-thiophene-2-carboxylic acid [(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

10 22) 3-nitrooxymethyl-thiophene-2-carboxylic acid [(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

15 29) 3-nitrooxymethyl-benzoic acid-2-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-phenylester;

20 30) 4-nitrooxybutyric acid-2-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-phenylester;

31) 3-nitrooxymethyl-benzoic acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-phenylester;

25

32) 4-nitrooxybutyric acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydrobenzo[a]heptalen-7-yl-carbamoyl]-phenylester;

5 33) 3-nitrooxymethyl-benzoic acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydrobenzo[a]heptalen-7-yl-carbamoyl]-benzylester;

10 34) 4-nitrooxybutyric acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydrobenzo[a]heptalen-7-yl-carbamoyl]-benzylester;

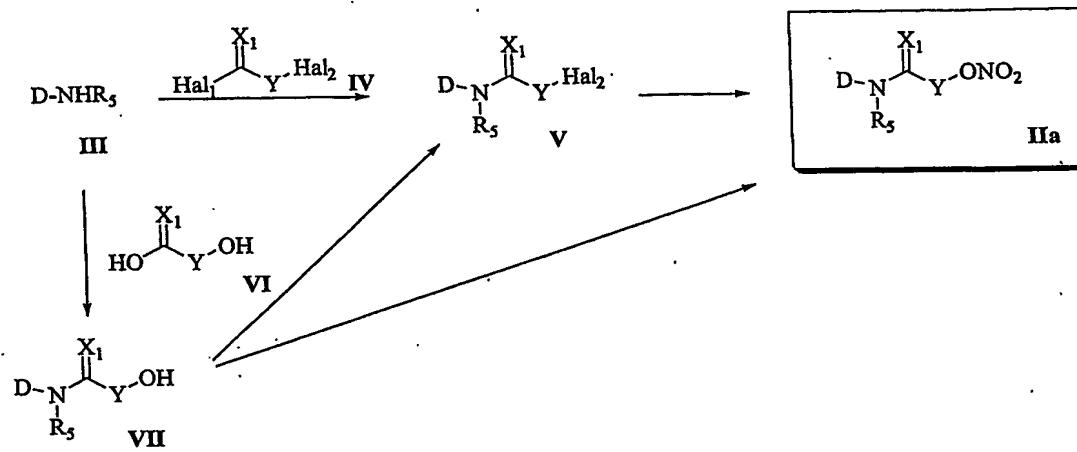
15 4. (amended) A method for preparing tricyclic derivatives as represented in <Scheme 1> comprising the following steps:

20 (1) Reaction of the compound of formula (III) with the compound formula (IV) or the compound of formula (VI) is performed to give the compound of formula (V) or the compound of formula (VII), or reaction of the resultant compound of formula (VII) with the halogen compound is performed to give the compound of formula (V) (Step 1); and

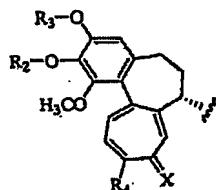
(2) Nitration or nitrosation of the prepared compound of formula (V) or the compound of formula

(VII) is performed to give the compound of formula (IIa) (Step 2).

<Scheme 1>



5



(Wherein, D is , and R₂, R₃, R₄ and X

are same as defined in the <Formula 1> of claim 1;

R₅ is H or C₁~C₅ alkyl group;

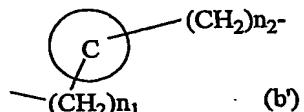
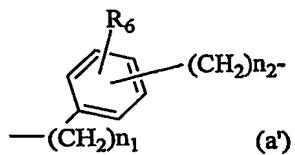
10

X₁ is O;

Hal₁ and Hal₂ are halogens;

Hal₁ and Hal₂ of formula (IV) are each same or different halogens, for example F, Cl, Br or I; Y

is selected from the group consisting of formula (a') and (b'),



5 wherein, , R₆, n₁, and n₂ are same as defined in the <Formula 1> of claim 1.)

10 5. (amended) An anticancer agent or anti-proliferation agent containing a tricyclic derivative of claim 1 or claim 3 or pharmaceutically acceptable salts thereof as an effective ingredient.

15 6. (amended) An angiogenesis inhibitor containing a tricyclic derivative of claim 1 or claim 3 or pharmaceutically acceptable salts thereof as an effective ingredient.

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(deleted)

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AMENDED SHEET (ART. 34)